

8660 Public Utilities Commission

The California Public Utilities Commission (PUC) regulates critical and essential services such as privately owned telecommunications, electric, natural gas, and water companies, in addition to overseeing railroad/rail transit and moving and transportation companies. The PUC is the only agency in the state charged with protecting private utility consumers. As such, the PUC is responsible for ensuring that customers have safe, reliable utility service at reasonable rates, protecting against fraud, and promoting the health of California's economy, which depends on the infrastructure the utilities and the PUC provide.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Regulation of Utilities	588.4	649.5	670.9	\$685,962	\$761,919	\$768,340
15 Universal Service Telephone Programs	59.6	66.5	68.0	410,457	640,172	649,409
20 Regulation of Transportation	179.7	197.1	207.5	18,998	21,182	23,409
30.01 Administration	140.3	85.5	91.0	30,844	30,573	30,518
30.02 Distributed Administration	-	-	-	-30,844	-30,573	-30,518
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	968.0	998.6	1,037.4	\$1,115,417	\$1,423,273	\$1,441,158

FUNDING				2010-11*	2011-12*	2012-13*
0042	State Highway Account, State Transportation Fund			\$3,560	\$3,563	\$3,775
0046	Public Transportation Account, State Transportation Fund			3,195	4,002	5,006
0412	Transportation Rate Fund			2,128	2,660	2,703
0461	Public Utilities Commission Transportation Reimbursement Account			10,115	10,957	11,925
0462	Public Utilities Commission Utilities Reimbursement Account			80,423	86,640	91,937
0464	California High-Cost Fund-A Administrative Committee Fund			42,392	56,339	49,773
0470	California High-Cost Fund-B Administrative Committee Fund			27,069	47,711	36,333
0471	Universal Lifeline Telephone Service Trust Administrative Committee Fund			207,331	367,097	354,985
0483	Deaf and Disabled Telecommunications Program Administrative Committee Fund			65,447	69,028	76,012
0491	Payphone Service Providers Committee Fund			2	72	72
0493	California Teleconnect Fund Administrative Committee Fund			67,115	75,094	92,234
0890	Federal Trust Fund			1,879	4,143	4,879
0995	Reimbursements			13,912	63,262	63,080
3015	Gas Consumption Surcharge Fund			566,793	584,763	584,944
3089	Public Utilities Commission Ratepayer Advocate Account			22,955	23,111	23,500
3141	California Advanced Services Fund			1,101	24,831	40,000
TOTALS, EXPENDITURES, ALL FUNDS				\$1,115,417	\$1,423,273	\$1,441,158

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

10-Regulation of Utilities:

California Constitution, Article XII; Public Utilities Code, Divisions 1, 2, and 4.

15-Universal Service Telephone Programs:

California Constitution, Article XII; Public Utilities Code, Division 1.

20-Regulation of Transportation:

California Constitution, Article XII; Public Utilities Code, Divisions 1, 2, 4, and 10.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range.

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	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Change Proposals						
• Chapter 404, Statutes of 2011 (AB 136, Beall): Speech Disabilities	\$-	\$-	-	\$-	\$6,150	4.3
• Chapter 599, Statutes of 2011 (SB 790, Leno): Community Choice Aggregation	-	-	-	-	421	3.8
• Chapter 519, Statutes of 2011 (AB 56, Hill): Gas Corporations Rate Recovery-Pipeline Safety Expenditures	-	-	-	-	322	1.9
• Chapter 520, Statutes of 2011 (SB 44, Corbett): Gas Pipeline Emergency Response Standards	-	-	-	-	217	1.9
• Chapter 522, Statutes of 2011 (SB 705, Leno): Natural Gas Service and Safety	-	-	-	-	102	0.9
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$7,212	12.8
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$-	\$354	-	\$-	\$354	-
• Carryover	-	-	-	-	322	-
• Employee Compensation Adjustments	-	-1,988	-	-	253	-
• Lease Revenue Debt Service Adjustment	-	-5	-	-	-10	-
• Limited Term/Expiring Programs	-	-	-	-	-237	-
• One Time Costs Reduction	-	-	-	-	-1,149	-
• Miscellaneous Adjustments	-	-8,014	-	-	-4,779	-
Totals, Other Workload Budget Adjustments	\$-	-\$9,653	-	\$-	-\$5,246	-
Totals, Workload Budget Adjustments	\$-	-\$9,653	-	\$-	\$1,966	12.8
Policy Adjustments						
• Global Safety and Enforcement Programs	\$-	\$-	-	\$-	\$5,896	38.9
• State Electricity Regulators Assistance Project (ARRA)	-	-	-	-	372	3.8
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$6,268	42.7
Totals, Budget Adjustments	\$-	-\$9,653	-	\$-	\$8,234	55.5

PROGRAM DESCRIPTIONS

10 - REGULATION OF UTILITIES

The fundamental objectives of this program include ensuring customers have safe, reliable utility service at reasonable rates, protecting against fraud, and promoting the health of California's economy, which depends on the infrastructure the utilities and the PUC provide. Californians spend more than \$38 billion annually for services from industries regulated by the PUC. This includes 11 electricity utilities (80 percent of electric load in California), 1,821 telecommunications carriers, 156 water and sewer utilities, and 6 natural gas utilities, and over 3200 small mobile home park and propane operators.

The Commission oversees the safety of electric, communications, natural gas, and propane gas utility systems and also performs operation and maintenance audits, outage inspections and investigations of incidents at electric generation facilities. The PUC safety functions include both backward looking investigation and analysis of safety incidents and utility performance, and forward looking risk assessment to identify needed regulatory rule reform and to ensure that limited ratepayer funding is directed at the most critical public safety challenges.

The program also includes the Division of Ratepayer Advocates, which advocates on behalf of public utility customers to obtain the lowest possible rate for service consistent with reliable and safe service levels. The Division balances the interests of all ratepayers to ensure all consumers are treated equitably. The Division is funded by the Public Utility Ratepayer Advocate Account, which funds are utilized exclusively by the Division in the performance of its duties as determined by the director.

In the area of energy regulation, the PUC has a number of programs in place to help consumers, the economy, and the environment. The PUC is working to protect the environment from climate change. The PUC is also committed to renewable power and is working to implement renewable energy goals for utilities. Through the California Solar Initiative, the PUC will provide more than \$2 billion in incentives over the next several years for solar installations.

* Dollars in thousands, except in Salary Range.

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To ensure consumers have access to sufficient information to make informed telecommunications choices, the PUC created a Telecommunications Consumer Education Initiative called Cal Phone Info, designed to help consumers navigate the increasingly competitive telecommunications market and to learn how to avoid becoming victims of consumer fraud. Cal Phone Info provides consumers with information on issues such as understanding phone bills, illegal practices, buying wireless telephone service, choosing telecommunications companies and services, prepaid phone cards, and avoiding telephone fraud and misleading advertising.

The Commission also administers issuing state franchises to provide video service in California. The Commission is responsible for monitoring video service provider compliance with the anti-discrimination, anti-redlining, and build-out requirements of California's state franchise law.

15 - UNIVERSAL SERVICE TELEPHONE PROGRAMS

The PUC oversees nearly \$1 billion in telecommunications consumer programs including the California Lifeline Fund, California Teleconnect Fund, Deaf and Disabled Telecommunications Program, and California High Cost Funds, all of which provide much-needed services to consumers and communities. The objectives of these 'universal telephone service' programs include: (1) ensuring basic telephone service remains available and affordable to all Californians regardless of geography, language, cultural, ethnic, physical or income differences; (2) encouraging consumer choice among competitive telephone companies; (3) modifying, as necessary, the basic telephone service definition to incorporate new technology for all residential subscribers; and (4) ensuring consumers have access to sufficient information to make informed choices about basic service and universal lifeline telephone services. Specifically, the California High-Cost Fund A program provides supplemental funding to 17 small local telephone companies to minimize rate disparities, which otherwise would occur in basic telephone service costs between rural and metropolitan areas. The California High-Cost Fund B program provides supplemental funding to large telephone companies to minimize disparities which otherwise would occur between high-cost and metropolitan areas. The Deaf and Disabled Telecommunications program provides assistance to deaf, hearing impaired and disabled residents. The Payphone Service Providers program provides payphones to the general public at no charge in the interest of public safety and at locations where payphones otherwise would not be found. The California Teleconnect Fund program provides discounted telecommunication services to qualifying schools, libraries, hospitals and community-based organizations. The California Advanced Services Fund Program encourages the deployment of broadband infrastructure in unserved and underserved rural and urban areas of California.

20 - REGULATION OF TRANSPORTATION

The PUC oversees the safety of all railroads, six major rail transit agencies, five smaller transit systems, and more than 16,000 public and private crossings. The PUC's specially trained and federally certified inspectors inspect all tracks (annually), all train equipment and facilities (semi-annually), investigate all rail accidents resulting in loss of life and property damage, and ensures the safe transportation of hazardous materials. The PUC has exclusive authority to approve or disapprove all highway-rail crossings. The Transportation program also oversees passenger carriers, including privately owned for-hire passenger transportation companies and household goods carriers. The program regulates rates and services, issues certificates and other licenses, and enforces safety standards and insurance requirements.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	REGULATION OF UTILITIES			
State Operations:				
0462	Public Utilities Commission Utilities Reimbursement Account	\$80,423	\$86,640	\$91,937
0890	Federal Trust Fund	1,879	4,143	4,879
0995	Reimbursements	13,912	63,262	63,080
3015	Gas Consumption Surcharge Fund	566,793	584,763	584,944
3089	Public Utilities Commission Ratepayer Advocate Account	22,955	23,111	23,500
Totals, State Operations		\$685,962	\$761,919	\$768,340
ELEMENT REQUIREMENTS				
10.10	Regulation of Rates	\$638,053	\$693,509	\$700,627
State Operations:				
0462	Public Utilities Commission Utilities Reimbursement Account	57,492	62,381	68,034
0890	Federal Trust Fund	114	1,036	1,220
0995	Reimbursements	13,654	45,329	46,429
3015	Gas Consumption Surcharge Fund	566,793	584,763	584,944
10.15	Office of Ratepayer Advocates	\$23,213	\$27,146	\$27,535
State Operations:				

* Dollars in thousands, except in Salary Range.

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	2010-11*	2011-12*	2012-13*
0995 Reimbursements	258	4,035	4,035
3089 Public Utilities Commission Ratepayer Advocate Account	22,955	23,111	23,500
10.20 Service and Facilities	\$18,119	\$21,522	\$16,662
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	17,299	18,194	14,710
0890 Federal trust Fund	820	1,450	1,952
0995 Reimbursements	-	1,878	-
10.30 Certification	\$2,684	\$14,619	\$16,293
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	2,684	2,599	3,677
0995 Reimbursements	-	12,020	12,616
10.40 Safety	\$3,893	\$5,123	\$7,223
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	2,948	3,466	5,516
0890 Federal Trust Fund	945	1,657	1,707
PROGRAM REQUIREMENTS			
15 UNIVERSAL SERVICE TELEPHONE PROGRAMS			
State Operations:			
0464 California High-Cost Fund-A Administrative Committee Fund	\$42,392	\$56,339	\$49,773
0470 California High-Cost Fund-B Administrative Committee Fund	27,069	47,711	36,333
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	207,331	367,097	354,985
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	65,447	69,028	76,012
0491 Payphone Service Providers Committee Fund	2	72	72
0493 California Teleconnect Fund Administrative Committee Fund	67,115	75,094	92,234
3141 California Advanced Services Fund	1,101	24,831	40,000
Totals, State Operations	\$410,457	\$640,172	\$649,409
ELEMENT REQUIREMENTS			
15.10 California High-Cost Fund-A Program	\$42,392	\$56,339	\$49,773
State Operations:			
0464 California High-Cost Fund-A Administrative Committee Fund	42,392	56,339	49,773
15.20 California High-Cost Fund-B Program	\$27,069	\$47,711	\$36,333
State Operations:			
0470 California High-Cost Fund-B Administrative Committee Fund	27,069	47,711	36,333
15.30 Universal Lifeline Telephone Service Program	\$207,331	\$367,097	\$354,985
State Operations:			
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	207,331	367,097	354,985
15.40 Deaf and Disabled Telecommunications Program	\$65,447	\$69,028	\$76,012
State Operations:			

* Dollars in thousands, except in Salary Range.

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	2010-11*	2011-12*	2012-13*
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	65,447	69,028	76,012
15.50 Payphone Service Providers Program	\$2	\$72	\$72
State Operations:			
0491 Payphone Service Providers Committee Fund	2	72	72
15.60 California Teleconnect Fund Program	\$67,115	\$75,094	\$92,234
State Operations:			
0493 California Teleconnect Fund Administrative Committee Fund	67,115	75,094	92,234
15.70 California Advanced Services Fund Program	\$1,101	\$24,831	\$40,000
State Operations:			
3141 California Advanced Services Fund	1,101	24,831	40,000
PROGRAM REQUIREMENTS			
20 REGULATION OF TRANSPORTATION			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$3,560	\$3,563	\$3,775
0046 Public Transportation Account, State Transportation Fund	3,195	4,002	5,006
0412 Transportation Rate Fund	2,128	2,660	2,703
0461 Public Utilities Commission Transportation Reimbursement Account	10,115	10,957	11,925
0995 Reimbursements	-	-	-
Totals, State Operations	\$18,998	\$21,182	\$23,409
ELEMENT REQUIREMENTS			
20.10 Regulation of Rates	\$103	\$119	\$129
State Operations:			
0412 Transportation Rate Fund	6	9	9
0461 Public Utilities Commission Transportation Reimbursement Account	97	110	120
20.20 Service and Facilities	\$3,999	\$4,146	\$4,403
State Operations:			
0412 Transportation Rate Fund	1,260	1,516	1,541
0461 Public Utilities Commission Transportation Reimbursement Account	2,739	2,630	2,862
20.30 Licensing	\$2,397	\$3,435	\$3,656
State Operations:			
0412 Transportation Rate Fund	862	1,144	1,162
0461 Public Utilities Commission Transportation Reimbursement Account	1,535	2,291	2,494
0995 Reimbursements	-	-	-
20.40 Safety	\$12,499	\$13,482	\$15,221
State Operations:			
0042 State Highway Account, State Transportation Fund	3,560	3,563	3,775
0046 Public Transportation Account, State Transportation Fund	3,195	4,002	5,006
0461 Public Utilities Commission Transportation Reimbursement Account	5,744	5,917	6,440
PROGRAM REQUIREMENTS			
30 ADMINISTRATION			
ELEMENT REQUIREMENTS			

* Dollars in thousands, except in Salary Range.

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	2010-11*	2011-12*	2012-13*
30.01 Administration	30,844	30,573	30,518
30.02 Distributed Administration	-30,844	-30,573	-30,518
TOTALS, EXPENDITURES			
State Operations	<u>1,115,417</u>	<u>1,423,273</u>	<u>1,441,158</u>
Totals, Expenditures	\$1,115,417	\$1,423,273	\$1,441,158

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	968.0	1,038.5	1,033.5	\$73,796	\$79,649	\$81,951
Total Adjustments	-	-	58.5	-	-	5,038
Estimated Salary Savings	-	-39.9	-54.6	-	-3,982	-4,350
Net Totals, Salaries and Wages	968.0	998.6	1,037.4	\$73,796	\$75,667	\$82,639
Staff Benefits	-	-	-	<u>25,829</u>	<u>26,484</u>	<u>28,924</u>
Totals, Personal Services	968.0	998.6	1,037.4	\$99,625	\$102,151	\$111,563
OPERATING EXPENSES AND EQUIPMENT				\$54,044	\$91,149	\$91,207
SPECIAL ITEMS OF EXPENSE						
Base Rental and Fees/Insurance				\$5,023	\$5,107	\$5,104
California High-Cost Fund-A Program				40,272	56,342	49,776
California High-Cost Fund-B Program				25,716	47,711	36,333
Universal Lifeline Telephone Service Program				196,964	367,097	354,985
Deaf and Disabled Telecommunications Program				62,175	69,028	75,012
California Teleconnect Fund Program				63,759	75,094	92,234
California Advanced Services Fund Program				1,046	24,831	40,000
Gas Consumption Surcharge Program				<u>566,793</u>	<u>584,763</u>	<u>584,944</u>
Totals, Special Items of Expense				\$961,748	\$1,229,973	\$1,238,388
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$1,115,417	\$1,423,273	\$1,441,158
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,701	\$3,610	\$3,775
Allocation for employee compensation	9	4	-
Adjustment per Section 3.60	65	10	-
Adjustment per Section 3.90	-127	-58	-
Adjustment per Section 3.91	-87	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-3	-
Totals Available	\$3,561	\$3,563	\$3,775
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3,560	\$3,563	\$3,775
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,163	\$4,055	\$5,006
Allocation for employee compensation	10	5	-

* Dollars in thousands, except in Salary Range.

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1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Adjustment per Section 3.60	71	11	-
Adjustment per Section 3.90	-141	-66	-
Adjustment per Section 3.91	-96	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-3	-
Totals Available	\$4,007	\$4,002	\$5,006
Unexpended balance, estimated savings	-812	-	-
TOTALS, EXPENDITURES	\$3,195	\$4,002	\$5,006
0412 Transportation Rate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,766	\$2,695	\$2,703
Allocation for employee compensation	7	3	-
Adjustment per Section 3.60	46	7	-
Adjustment per Section 3.90	-92	-43	-
Adjustment per Section 3.91	-63	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-2	-
003 Budget Act appropriation	0	-	-
Totals Available	\$2,664	\$2,660	\$2,703
Unexpended balance, estimated savings	-536	-	-
TOTALS, EXPENDITURES	\$2,128	\$2,660	\$2,703
0461 Public Utilities Commission Transportation Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,397	\$11,103	\$11,925
Allocation for employee compensation	27	12	-
Adjustment per Section 3.60	195	30	-
Adjustment per Section 3.90	-387	-179	-
Adjustment per Section 3.91	-264	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-9	-
003 Budget Act appropriation	0	-	-
Totals Available	\$10,968	\$10,957	\$11,925
Unexpended balance, estimated savings	-853	-	-
TOTALS, EXPENDITURES	\$10,115	\$10,957	\$11,925
0462 Public Utilities Commission Utilities Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$82,519	\$82,627	\$86,511
Allocation for employee compensation	198	93	-
Adjustment per Section 3.60	1,409	222	-
Adjustment per Section 3.90	-2,801	-1,341	-
Adjustment per Section 3.91	-1,906	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-68	-
003 Budget Act appropriation	5,100	5,112	5,104
Adjustment per Section 4.30	-	-5	-
011 Budget Act appropriation (transfer to the Public Utilities Commission Ratepayer Advocate Account)	(23,871)	(23,248)	(23,500)
011 Budget Act appropriation (Loan to the General Fund) as added by Chapter 13, Statutes of 2011	(25,000)	-	-
Chapter 1, Statutes of 2011, First Extraordinary session (California Public Utilities Commission Foundation)	-	322	-
Prior year balances available:			
Chapter 1, Statutes of 2011, First Extraordinary session (California Public Utilities Commission Foundation)	-	-	322

* Dollars in thousands, except in Salary Range.

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1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Totals Available	\$84,519	\$86,962	\$91,937
Unexpended balance, estimated savings	-4,096	-	-
Balance available in subsequent years	-	-322	-
TOTALS, EXPENDITURES	\$80,423	\$86,640	\$91,937
0464 California High-Cost Fund-A Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$57,570	\$56,339	\$49,773
Totals Available	\$57,570	\$56,339	\$49,773
Unexpended balance, estimated savings	-15,178	-	-
TOTALS, EXPENDITURES	\$42,392	\$56,339	\$49,773
0470 California High-Cost Fund-B Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$49,897	\$47,711	\$36,333
011 Budget Act appropriation (Loan to the General Fund) as added by Chapter 13, Statutes of 2011	(100,000)	-	-
Totals Available	\$49,897	\$47,711	\$36,333
Unexpended balance, estimated savings	-22,828	-	-
TOTALS, EXPENDITURES	\$27,069	\$47,711	\$36,333
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$420,067	\$375,006	\$354,985
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-7,909	-
Totals Available	\$420,067	\$367,097	\$354,985
Unexpended balance, estimated savings	-212,736	-	-
TOTALS, EXPENDITURES	\$207,331	\$367,097	\$354,985
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$69,206	\$69,028	\$76,012
Totals Available	\$69,206	\$69,028	\$76,012
Unexpended balance, estimated savings	-3,759	-	-
TOTALS, EXPENDITURES	\$65,447	\$69,028	\$76,012
0491 Payphone Service Providers Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$73	\$72	\$72
Totals Available	\$73	\$72	\$72
Unexpended balance, estimated savings	-71	-	-
TOTALS, EXPENDITURES	\$2	\$72	\$72
0493 California Teleconnect Fund Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$69,933	\$75,094	\$92,234
Totals Available	\$69,933	\$75,094	\$92,234
Unexpended balance, estimated savings	-2,818	-	-
TOTALS, EXPENDITURES	\$67,115	\$75,094	\$92,234
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,077	\$4,195	\$4,879
Allocation for employee compensation	3	5	-
Adjustment per Section 3.60	22	11	-
Adjustment per Section 3.90	-44	-68	-
Adjustment per Section 3.91	-30	-	-

* Dollars in thousands, except in Salary Range.

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1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Budget Adjustment	-1,149	-	-
TOTALS, EXPENDITURES	\$1,879	\$4,143	\$4,879
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$13,912	\$63,262	\$63,080
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to General Fund)	\$-	(\$155,000)	\$-
Public Utilities Code Section 895	566,793	584,763	584,944
TOTALS, EXPENDITURES	\$566,793	\$584,763	\$584,944
3089 Public Utilities Commission Ratepayer Advocate Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$23,871	\$23,421	\$23,500
Allocation for employee compensation	57	26	-
Adjustment per Section 3.60	407	63	-
Adjustment per Section 3.90	-810	-380	-
Adjustment per Section 3.91	-552	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-19	-
Totals Available	\$22,973	\$23,111	\$23,500
Unexpended balance, estimated savings	-18	-	-
TOTALS, EXPENDITURES	\$22,955	\$23,111	\$23,500
3141 California Advanced Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,063	\$24,831	\$40,000
011 Budget Act appropriation (Loan to the General Fund) as added by Chapter 13, Statutes of 2011	(75,000)	-	-
Totals Available	\$25,063	\$24,831	\$40,000
Unexpended balance, estimated savings	-23,962	-	-
TOTALS, EXPENDITURES	\$1,101	\$24,831	\$40,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,115,417	\$1,423,273	\$1,441,158

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0051 Propane Safety Inspection and Enforcement Program Trust Fund ^s			
BEGINNING BALANCE	\$33	\$94	\$94
Prior year adjustments	-8	-	-
Adjusted Beginning Balance	\$25	\$94	\$94
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	88	114	114
Transfers and Other Adjustments:			
TO0462 To Public Utilities Commission Utilities Reimbursement Account per Public Utilities Code Section 4458	-19	-114	-114
Total Revenues, Transfers, and Other Adjustments	\$69	-	-
Total Resources	\$94	\$94	\$94
FUND BALANCE	\$94	\$94	\$94
Reserve for economic uncertainties	94	94	94
0412 Transportation Rate Fund ^s			
BEGINNING BALANCE	\$737	\$845	\$604

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2010-11*	2011-12*	2012-13*
Prior year adjustments	<u>302</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,039	\$845	\$604
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	1,873	2,349	2,349
125700 Other Regulatory Licenses and Permits	77	85	85
141200 Sales of Documents	2	5	5
150300 Income From Surplus Money Investments	5	8	8
Transfers and Other Adjustments:			
TO0293 To Motor Carriers Safety Improvement Fund per Public Utilities Code Section 5003.1	-21	-19	-19
Total Revenues, Transfers, and Other Adjustments	<u>\$1,936</u>	<u>\$2,428</u>	<u>\$2,428</u>
Total Resources	\$2,975	\$3,273	\$3,032
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8660 Public Utilities Commission (State Operations)	2,128	2,660	2,703
8880 Financial Information System for California (State Operations)	2	9	2
Total Expenditures and Expenditure Adjustments	<u>\$2,130</u>	<u>\$2,669</u>	<u>\$2,705</u>
FUND BALANCE	\$845	\$604	\$327
Reserve for economic uncertainties	845	604	327
0461 Public Utilities Commission Transportation Reimbursement Account ^s			
BEGINNING BALANCE	\$2,085	\$4,537	\$4,288
Prior year adjustments	<u>-31</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,054	\$4,537	\$4,288
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	11,008	9,216	9,216
120700 Penalties on Pub Util Comm Qtrly Fees	1	-	-
125700 Other Regulatory Licenses and Permits	1,597	1,528	1,528
150300 Income From Surplus Money Investments	1	2	2
Total Revenues, Transfers, and Other Adjustments	<u>\$12,607</u>	<u>\$10,746</u>	<u>\$10,746</u>
Total Resources	\$14,661	\$15,283	\$15,034
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	1	1
8660 Public Utilities Commission (State Operations)	10,115	10,957	11,925
8880 Financial Information System for California (State Operations)	7	37	10
Total Expenditures and Expenditure Adjustments	<u>\$10,124</u>	<u>\$10,995</u>	<u>\$11,936</u>
FUND BALANCE	\$4,537	\$4,288	\$3,098
Reserve for economic uncertainties	4,537	4,288	3,098
0462 Public Utilities Commission Utilities Reimbursement Account ^s			
BEGINNING BALANCE	\$24,488	\$4,008	\$10,763
Prior year adjustments	<u>-875</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$23,613	\$4,008	\$10,763
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	109,626	117,378	117,378
125900 Delinquent Fees	5	-	-
141200 Sales of Documents	25	11	11

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2010-11*	2011-12*	2012-13*
150300 Income From Surplus Money Investments	4	-	-
161000 Escheat of Unclaimed Checks & Warrants	20	-	-
161400 Miscellaneous Revenue	56	46	46
Transfers and Other Adjustments:			
FO0051 From Propane Safety Inspection and Enforcement Program Trust Fund per Public Utilities Code Section 4458	19	114	114
TO0001 To General Fund Loan per Item 8660-012-0462, Budget Act of 2010 as added by Chapter 13/2011	-25,000	-	-
TO3089 To Public Utilities Commission Ratepayer Advocate Account per Item 8660-011-0462, Budget Acts	-23,871	-23,871	-23,871
Total Revenues, Transfers, and Other Adjustments	<u>\$60,884</u>	<u>\$93,678</u>	<u>\$93,678</u>
Total Resources	\$84,497	\$97,686	\$104,441
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	15	9	9
8660 Public Utilities Commission (State Operations)	80,423	86,640	91,937
8880 Financial Information System for California (State Operations)	51	274	72
Total Expenditures and Expenditure Adjustments	<u>\$80,489</u>	<u>\$86,923</u>	<u>\$92,018</u>
FUND BALANCE	\$4,008	\$10,763	\$12,423
Reserve for economic uncertainties	4,008	10,763	12,423
0464 California High-Cost Fund-A Administrative Committee Fund ^s			
BEGINNING BALANCE	\$61,301	\$53,643	\$8,725
Prior year adjustments	647	-	-
Adjusted Beginning Balance	<u>\$61,948</u>	<u>\$53,643</u>	<u>\$8,725</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	33,819	11,426	43,200
125900 Delinquent Fees	7	-	-
150300 Income From Surplus Money Investments	307	178	49
Total Revenues, Transfers, and Other Adjustments	<u>\$34,133</u>	<u>\$11,604</u>	<u>\$43,249</u>
Total Resources	\$96,081	\$65,247	\$51,974
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	12	6	6
8660 Public Utilities Commission (State Operations)	42,392	56,339	49,773
8880 Financial Information System for California (State Operations)	34	177	47
Total Expenditures and Expenditure Adjustments	<u>\$42,438</u>	<u>\$56,522</u>	<u>\$49,826</u>
FUND BALANCE	\$53,643	\$8,725	\$2,148
Reserve for economic uncertainties	53,643	8,725	2,148
0470 California High-Cost Fund-B Administrative Committee Fund ^s			
BEGINNING BALANCE	\$20,251	\$103,480	\$107,260
Prior year adjustments	98,048	-	-
Adjusted Beginning Balance	<u>\$118,299</u>	<u>\$103,480</u>	<u>\$107,260</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	87,048	51,600	50,400
125900 Delinquent Fees	45	-	-
150300 Income From Surplus Money Investments	245	50	42
Transfers and Other Adjustments:			

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2010-11*	2011-12*	2012-13*
TO0001 To General Fund Loan per Item 8660-011-0470, Budget Act of 2010 as added by Chapter 13/2011	-75,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$12,338</u>	<u>\$51,650</u>	<u>\$50,442</u>
Total Resources	\$130,637	\$155,130	\$157,702
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	10	5	5
8660 Public Utilities Commission (State Operations)	27,069	47,711	36,333
8880 Financial Information System for California (State Operations)	<u>78</u>	<u>154</u>	<u>41</u>
Total Expenditures and Expenditure Adjustments	<u>\$27,157</u>	<u>\$47,870</u>	<u>\$36,379</u>
FUND BALANCE	\$103,480	\$107,260	\$121,323
Reserve for economic uncertainties	103,480	107,260	121,323
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund ^s			
BEGINNING BALANCE	\$114,705	\$305,737	\$186,738
Prior year adjustments	<u>180,077</u>	-	-
Adjusted Beginning Balance	\$294,782	\$305,737	\$186,738
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	217,535	200,701	200,701
125900 Delinquent Fees	58	31	31
150300 Income From Surplus Money Investments	823	823	823
150500 Interest Income From Interfund Loans	-	2,882	-
161000 Escheat of Unclaimed Checks & Warrants	104	-	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 8660-011-0471, BA of 2008 amended by Ch.2 3X/2009	-	45,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$218,520</u>	<u>\$249,437</u>	<u>\$201,555</u>
Total Resources	\$513,302	\$555,174	\$388,293
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	60	44	41
8660 Public Utilities Commission (State Operations)	207,331	367,097	354,985
8880 Financial Information System for California (State Operations)	<u>174</u>	<u>1,295</u>	<u>341</u>
Total Expenditures and Expenditure Adjustments	<u>\$207,565</u>	<u>\$368,436</u>	<u>\$355,367</u>
FUND BALANCE	\$305,737	\$186,738	\$32,926
Reserve for economic uncertainties	305,737	186,738	32,926
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund			
<small>s</small>			
BEGINNING BALANCE	\$25,209	\$46,132	\$42,285
Prior year adjustments	<u>49,876</u>	-	-
Adjusted Beginning Balance	\$75,085	\$46,132	\$42,285
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	36,858	34,416	34,416
125900 Delinquent Fees	10	5	5
150300 Income From Surplus Money Investments	231	231	231
150500 Interest Income From Interfund Loans	-	1,301	-
Transfers and Other Adjustments:			

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2010-11*	2011-12*	2012-13*
FO0001 From General Fund loan repayment per Item 8660-011-0483, BA of 2008 amended by Ch.2 3X/2009	-	30,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$37,099</u>	<u>\$65,953</u>	<u>\$34,652</u>
Total Resources	\$112,184	\$112,085	\$76,937
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	12	7	7
6120 California State Library (Local Assistance)	552	552	552
8660 Public Utilities Commission (State Operations)	65,447	69,028	76,012
8880 Financial Information System for California (State Operations)	<u>41</u>	<u>213</u>	<u>56</u>
Total Expenditures and Expenditure Adjustments	<u>\$66,052</u>	<u>\$69,800</u>	<u>\$76,627</u>
FUND BALANCE	\$46,132	\$42,285	\$310
Reserve for economic uncertainties	46,132	42,285	310
0491 Payphone Service Providers Committee Fund ^s			
BEGINNING BALANCE	\$223	\$219	\$147
Prior year adjustments	<u>-3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$220	\$219	\$147
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	<u>1</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>-</u>	<u>-</u>
Total Resources	\$221	\$219	\$147
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8660 Public Utilities Commission (State Operations)	<u>2</u>	<u>72</u>	<u>72</u>
Total Expenditures and Expenditure Adjustments	<u>\$2</u>	<u>\$72</u>	<u>\$72</u>
FUND BALANCE	\$219	\$147	\$75
Reserve for economic uncertainties	219	147	75
0493 California Teleconnect Fund Administrative Committee Fund ^s			
BEGINNING BALANCE	\$25,453	\$44,482	\$28,667
Prior year adjustments	<u>48,976</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$74,429	\$44,482	\$28,667
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	14,887	14,408	14,408
125900 Delinquent Fees	5	3	3
150300 Income From Surplus Money Investments	87	87	87
150500 Interest Income From Interfund Loans	2,221	4,788	7,397
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 8660-011-0493 Budget Act of 2003	<u>20,000</u>	<u>40,000</u>	<u>61,800</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$37,200</u>	<u>\$59,286</u>	<u>\$83,695</u>
Total Resources	\$111,629	\$103,768	\$112,362
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	12	7	8
8660 Public Utilities Commission (State Operations)	67,115	75,094	92,234
8880 Financial Information System for California (State Operations)	<u>20</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$67,147</u>	<u>\$75,101</u>	<u>\$92,242</u>
FUND BALANCE	\$44,482	\$28,667	\$20,120

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2010-11*	2011-12*	2012-13*
Reserve for economic uncertainties	44,482	28,667	20,120
3015 Gas Consumption Surcharge Fund [§]			
BEGINNING BALANCE	\$17,163	\$31,056	\$43,879
Prior year adjustments	<u>136,034</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$153,197	\$31,056	\$43,879
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120300 Energy Resource Surcharge	468,407	623,596	623,596
150300 Income From Surplus Money Investments	530	414	414
Transfers and Other Adjustments:			
TO3109 To Natural Gas Subaccount,Public Interest Research,Development, & Demonstration Fd per Item 3360-011-3015, Budget Acts	<u>-23,300</u>	<u>-24,000</u>	<u>-24,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$445,637</u>	<u>\$600,010</u>	<u>\$600,010</u>
Total Resources	\$598,834	\$631,066	\$643,889
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	106	61	69
0860 State Board of Equalization (State Operations)	617	675	733
8660 Public Utilities Commission (State Operations)	566,793	584,763	584,944
8880 Financial Information System for California (State Operations)	<u>262</u>	<u>1,688</u>	<u>446</u>
Total Expenditures and Expenditure Adjustments	<u>\$567,778</u>	<u>\$587,187</u>	<u>\$586,192</u>
FUND BALANCE	\$31,056	\$43,879	\$57,697
Reserve for economic uncertainties	31,056	43,879	57,697
3089 Public Utilities Commission Ratepayer Advocate Account [§]			
BEGINNING BALANCE	\$2,175	\$3,969	\$4,691
Prior year adjustments	<u>874</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,049	\$3,969	\$4,691
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	23	38	38
Transfers and Other Adjustments:			
FO0462 From Public Utilities Commission Utilities Reimbursement Account per Item 8660-011-0462, Budget Acts	<u>23,871</u>	<u>23,871</u>	<u>23,871</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$23,894</u>	<u>\$23,909</u>	<u>\$23,909</u>
Total Resources	\$26,943	\$27,878	\$28,600
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	5	2	3
8660 Public Utilities Commission (State Operations)	22,955	23,111	23,500
8880 Financial Information System for California (State Operations)	<u>14</u>	<u>74</u>	<u>20</u>
Total Expenditures and Expenditure Adjustments	<u>\$22,974</u>	<u>\$23,187</u>	<u>\$23,523</u>
FUND BALANCE	\$3,969	\$4,691	\$5,077
Reserve for economic uncertainties	3,969	4,691	5,077
3141 California Advanced Services Fund [§]			
BEGINNING BALANCE	\$115,773	\$115,336	\$110,032
Prior year adjustments	<u>74,933</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$190,706	\$115,336	\$110,032
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2010-11*	2011-12*	2012-13*
125600 Other Regulatory Fees	209	19,413	29,120
125900 Delinquent Fees	21	-	-
150300 Income From Surplus Money Investments	526	194	291
Transfers and Other Adjustments:			
TO0001 To General Fund Loan per Item 8660-011-3141, Budget Act of 2010 as added by Chapter 13/2011	-75,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>-\$74,244</u>	<u>\$19,607</u>	<u>\$29,411</u>
Total Resources	\$116,462	\$134,943	\$139,443
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	10	3	3
8660 Public Utilities Commission (State Operations)	1,101	24,831	40,000
8880 Financial Information System for California (State Operations)	15	77	20
Total Expenditures and Expenditure Adjustments	<u>\$1,126</u>	<u>\$24,911</u>	<u>\$40,023</u>
FUND BALANCE	\$115,336	\$110,032	\$99,420
Reserve for economic uncertainties	115,336	110,032	99,420

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	968.0	1,038.5	1,033.5	\$73,796	\$79,649	\$81,951
Proposed New Positions:				Salary Range		
Assistant Chief Counsel	-	-	1.0	9,867-11,095	-	126
Program & Project Supvr	-	-	1.0	8,097-9,842	-	108
Sr. Utilities Engineer- Spec	-	-	12.0	8,122-9,870	-	1,295
Administrative Law Judge II	-	-	4.5	7,858-9,509	-	469
Counsel III	-	-	6.0	7,682-9,478	-	618
Regulatory Analyst V	-	-	2.0	6,110-7,425	-	162
Regulatory Analyst IV	-	-	3.0	5,561-6,759	-	222
Regulatory Analyst III	-	-	3.0	5,064-6,156	-	202
Assoc. Railroad Equipment Inspectors	-	-	1.0	4,960-6,027	-	66
Assoc. Signal and Train Control Inspectors	-	-	2.0	4,960-6,027	-	132
Assoc. Transp Ops Supvr	-	-	1.0	4,960-6,027	-	66
Utilities Engineer	-	-	17.0	4,608-8,379	-	1,325
Associate Govtl Program Analyst	-	-	1.0	4,400-5,348	-	58
Legal Analyst	-	-	2.0	3,841-4,670	-	102
Staff Service Analyst-General	-	-	2.0	2,817-4,446	-	87
Totals Proposed New Positions	<u>-</u>	<u>-</u>	<u>58.5</u>	<u>\$-</u>	<u>\$-</u>	<u>\$5,038</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>58.5</u>	<u>\$-</u>	<u>\$-</u>	<u>\$5,038</u>
TOTALS, SALARIES AND WAGES	968.0	1,038.5	1,092.0	\$73,796	\$79,649	\$86,989

* Dollars in thousands, except in Salary Range.